

NATIONAL LAW UNIVERSITY, DELHI
LL.M. (Professional), II -Semester (Batch of 2019)
Online Take Home Assessment- 2020
Paper: Securities & Investment Law

Time: 6:00 Hours

Total Marks: 50

Instructions:

1. Mail your assignments only to **submissions.llmpro@nludelhi.ac.in**
 2. All questions are compulsory.
 3. No clarification shall be sought on the question paper.
 4. **Mention only your Name, Roll No. and subject name on the First page. Start writing your answers from the second page only. Do not mention your name and roll no on any other page.**
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1. Critically analyse the role of SEBI in regulating the different stakeholders in the Indian securities market. Write your analysis in detail considering the relevant regulations and rulings.

(20 marks)

2. The recent Government of India - 'Make in India' - campaign was given wide publicity in many European nations. New Ventures Inc., a company incorporated in Austria is keen to invest in various sectors in India. Its interests lie in the following sectors - electronics, defence equipment, broadcasting, tobacco, real estate, mining and atomic energy; and allied sectors. While it understands the international investment framework, it has approached you, a noted investment law expert, for guidance on the Indian exchange control law that may apply to its investment activities. It has requested you to prepare a comprehensive note clearly outlining applicable laws, responding to the following questions:

- a) Can it invest in the noted sectors?
- b) Extent of investment permitted?
- c) Applicable routes of investments for the listed sectors?
- d) Requirements to be fulfilled to ensure that its investment is classified as foreign direct investment.

(20 marks)

3. XYZ Co. Ltd. a conglomerate intends to acquire control over ABC Ltd., a transport company. It seeks your advice regarding all the compliances required to make an open offer to the target company. Please advice in accordance with the relevant provisions.

(10 marks)

OR

Clearly elaborate and discuss the various protections that may be given to foreign investments under a Bilateral Investment treaty.

(10 marks)